

# The Flow Semigroup: An Algebraic Invariant for Graphs and Digraphs, and Some Results on Its Structure

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# Graphs and Digraphs

- *Digraph*:  $\Gamma = (V, E)$ , with vertices  $V$ , edges  $E \subseteq V \times V$ .
- The *reverse edge* to  $e = (x, y) \in E$  is  $\bar{e} = (y, x)$
- (*Undirected*) *Graph*:  $E$  is symmetric
- No self-loops:  $(x, x) \notin E$ .

# Spectrum of Graph

## Algebraic graph theory

- *adjacency matrix*  $A = A(\Gamma)$  of  $\Gamma = (V, E)$  is a matrix of 0's and 1's with  $A_{x,y} = 1$  iff  $(x, y) \in E$ .
- The multiset of eigenvalues of  $A$  is called the *spectrum* of  $A$ .

## Theorem

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$K_{1,4}$  and  $C_4 \sqcup K_1$  have same spectrum.  $\implies$  **not** complete invariant

# Elementary Collapsings of Directed Edges

Digraph  $\Gamma = (V, E)$

Elementary collapsing

edge  $e = (x, y) \longrightarrow$  function  $T_{x,y}: V \rightarrow V$

$$T_{x,y}(v) = \begin{cases} y & \text{if } v = x, \\ v & \text{otherwise.} \end{cases}$$

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Example

$$T_{(1,2)}: 1 \rightarrow 2$$

$$2 \rightarrow 2$$

$$3 \rightarrow 3$$

$$4 \rightarrow 4$$

# Defect $k$ mappings

## Definition

We say a mapping  $f : V \rightarrow V$  has *defect*  $k$  if  $|f(V)| = |V| - k$ .



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$f$  has defect  $k \implies fg$  and  $gf$  have defect at least  $k$ .

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## Definition

The *transformation semigroup* of a digraph  $\Gamma = (V, E)$  to be the collection of transformations of  $V$  generated by the  $T_e$  ( $e \in E$ ).

$$S(\Gamma) = \langle T_{x,y} \in V^V \mid (x,y) \text{ is an edge of } \Gamma \rangle.$$

This is also called the **semigroup of flows** on  $\Gamma$ .

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$S(\Gamma)$  is an invariant for graphs and for digraphs.

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## Main Theorem (Nehaniv–Rhodes)

$S(\Gamma)$  is a complete algebraic invariant for undirected graphs.

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Now  $f^2 = T_{1,3}$ , corresponding to an edge  $(1, 3)$ .

# Complete Invariance for Graphs

## Lemma

*If  $T_{x,y} = T_{x_1,y_1} \cdots T_{x_k,y_k}$ , then  $T_{x,y}$  or  $T_{y,x}$  appears among the  $T_{x_i,y_i}$ . In fact, it appears as  $T_{x_1,y_1}$ .*

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## Corollary

*Let  $\Gamma$  be a digraph.*

*If  $T_{x,y} \in S(\Gamma)$  then either  $e = (x,y)$  or  $\bar{e} = (y,x)$  is an edge of  $\Gamma$ .*

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This proves the Main Theorem, i.e.  $S(\Gamma)$  is a complete invariant for graphs.



# Reversing Edges in Directed Cycles

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So,  $S(\Delta \cup \{(1, n)\}) = S(\Delta)$

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## Theorem

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**W defect 1: permutes  $n-1$  vertices cyclically**

$$\vdots$$

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# Complete Invariance for Graphs

## Caveat

NB: It is the transformation semigroup that is the complete invariant for graphs, not just the semigroup.

## Example

For any graph  $\Gamma$ , the graph  $\Gamma \sqcup K_1$  (new isolated vertex) has isomorphic semigroup.

Their transformation semigroups are **not** isomorphic, since one acts on  $|V|$  points and the other on  $|V| + 1$  points.

# Induced Subgraphs

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cf. Interlacing theorem for the spectrum of graphs.

# Defect $k$ Permutator Groups

## Permutator Group

- If  $e^2 = e: V \rightarrow V$  is an idempotent  $S(\Gamma)$ .  
Let  $X_e = V \cdot e = \{v \cdot e \mid v \in V\}$   
Let  $G_e =$  (unique) maximal subgroup of  $S(\Gamma)$  containing  $e$ .
- $(X_e, G_e)$  (faithful) *permutator group* of subset  $X_e$ , consists of defect  $k$  where  $k = |V| - |X_e|$ .

## Theorem

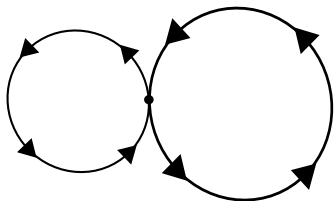
*The defect  $k$  permutator groups (up to isomorphism) are invariants for digraphs.*

# Some Defect 1 Permutator Groups

## Example

- Cycle graph with  $n$  nodes: Defect 1 group: cyclic  $C_{n-1}$

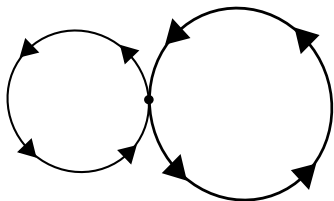
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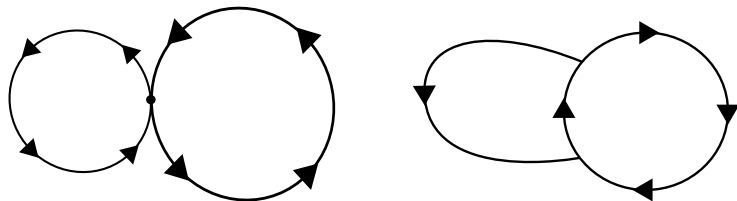


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- Cycle graph with  $n$  nodes: Defect 1 group: cyclic  $C_{n-1}$
- Two cycle graphs  $n$  and  $m$  nodes: Defect 1 group:  
 $C_{n-1} \times C_{m-1}$



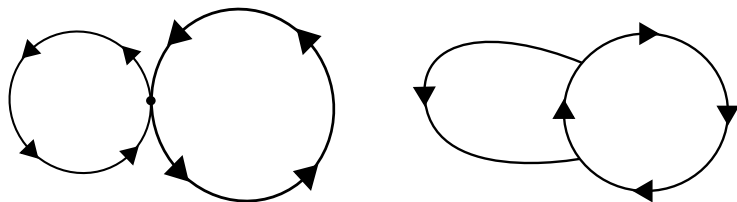
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- Overlapping Cycles:

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- Overlapping Cycles: Defect 1 group: symmetric or alternating

## Defect $k$ Groups of a Graph $\Gamma$

- unions of disjoint cycles  $\rightarrow$  permutations
- maximal subgroups = direct product of groups for strongly connected components.
- enough to look at strongly connected digraphs
- *bridge* is an undirected edge connecting two components.
- $n$ -edge connected graph : removing any  $n - 1$  edges does not disconnect the graph
- no bridges = 2-edge connected  $\implies$  Rhodes conjecture holds (Main Theorem II)

## Main Theorem II (Egri-Nagy, Horváth, Nehaniv, Podoski, Rhodes, 2015)

Graph  $\Gamma$ , 2-edge connected, not a cycle.

Then maximal defect  $k$  groups are all isomorphic and

- Defect 1: Direct product of cyclic, alternating and symmetric groups of various orders.
- Defect 2:  $A_{n-2}$  or  $S_{n-2}$
- Defect  $k$  :  $S_{n-k}$

with finitely many exceptions.

# Finding the Defect $k$ Permutator Groups

Definition (The Defect  $k$  Digraph of a Digraph  $\Gamma$ ,  $1 \leq k \leq |V| - 2$ )

$D_k$  digraph :

nodes:  $V \cdot f = f(V)$  with  $f^2 = f \in S(\Gamma)$  having defect  $k$ .

edges:  $e$  in  $\Gamma$  labels a edge from  $X$  to  $Y$  in  $D_k$  iff  $X \cdot T_e = Y$ .

That is,  $T_e$  maps the elements of  $X$  one-to-one onto  $Y$ .

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**Theorem (Rhodes)**

*Let  $e^2 = e \in S(\Gamma)$ . The permutator group  $G_e$  is generated by all directed cycles in  $D_k$  starting at  $X_e$ .*

# Defect $k$ Groups in Particular Graphs

Example (Graph  $\Delta$  : simple closed walk with  $n$  nodes)

- $C_{n-1}$  : permutation group of defect 1 in  $S(\Gamma)$
- Moreover,  $C_{n-k}$  : permutation group of defect  $k$  in  $S(\Gamma)$  acting on successively smaller state sets for  $k = 1, 2, \dots, n - 2$ .

Example ( $\Delta$  plus one new, non-reversed edge (Jordan's Lemma))

defect 1: alternating group  $A_{n-1}$  or symmetric group  $S_{n-1}$

defect  $k$ : symmetric group  $S_{n-k}$  on  $|V| - k$  nodes ( $k = 2, \dots, n - 2$ ).

Example (Surprise:  $K_{1,n}$  tree,  $n \geq 3$ )

Defect 1 groups: trivial. Defect  $k \geq 2$ :  $S(K_{1,n})$  has nontrivial subgroups, generated by 'swap' operations using temporary storage. Symmetric group  $S_{n+1-k}$

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## Open Problems

- If the defect  $k$  groups of graphs  $\Gamma$  and  $\Gamma'$  are known, what are they when we join  $\Gamma$  and  $\Gamma'$  by a bridge edge? or by a sequence of bridge edges?
- What are the defect  $k$  groups for connected  $\Gamma$  when  $\Gamma$  is not 2-connected?



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# Induced Subgraphs and Applications

## Theorem

*If  $\Gamma'$  is a subgraph of  $\Gamma$ , then  $S(\Gamma')$  is a sub-transformation semigroup of  $S(\Gamma)$ .*

cf. Interlacing theorem for the spectrum of graphs.

## Biochemical Reactions

Biochemical transitions are modelled as products of commuting elementary collapsings,  $f = \prod T_{a,b}$ , where  $T_{x,y}$  and  $T_{y,z}$  do not both occur among the  $T_{a,b}$  for any  $x, y$ , and  $z$ .

Notice that these are idempotents.

Any transformation semigroup generated by these is obviously a sub-transformation semigroup of the flow semigroup of the underlying digraph.

# Krohn-Rhodes Theory and the Flow Semigroup $S(\Gamma)$

## Theorem

Let  $\Gamma$  be a digraph with  $n$  nodes. Then  $S(\Gamma)$  divides a cascade of  $H_{n-2} \wr \cdots \wr H_1$ , where  $H_i$  is a direct product (or disjoint group-product) of defect  $i$  groups with constant maps adjoined.

(One needs permutator groups in  $H_k$  per strongly connected component of the  $D_k$ .)

*Proof:* Apply the holonomy decomposition theorem to  $S(\Gamma)$   $\square$

Remark.  $\Gamma$  is connected  $\implies$  at most one defect  $k$  group occurs at each level.

## Corollary

Krohn-Rhodes complexity of  $S(\Gamma)$  is at most  $|V| - 2$  for  $|V|$  node (di)graphs.

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Let  $\Gamma$  be a digraph with  $n$  nodes. Then  $S(\Gamma)$  divides a cascade of  $H_{n-2} \wr \cdots \wr H_1$ , where  $H_i$  is a direct product (or disjoint group-product) of defect  $i$  groups with constant maps adjoined.

(One needs permutator groups in  $H_k$  per strongly connected component of the  $D_k$ .)

*Proof:* Apply the holonomy decomposition theorem to  $S(\Gamma)$   $\square$

Remark.  $\Gamma$  is connected  $\implies$  at most one defect  $k$  group occurs at each level.

## Corollary

Krohn-Rhodes complexity of  $S(\Gamma)$  is at most  $|V| - 2$  for  $|V|$  node (di)graphs. *Q: Equality always holds for connected graphs?*